

Digital Directors' & Officers' Questionnaires

Streamline Proxy Disclosures

Transition from paper-based processes to dynamic processes with digital Directors' & Officers' (D&O) Questionnaires. Hosted in the Nasdaq EnGauge™ platform, digital D&O Questionnaires help ensure accuracy in disclosures, promote efficiencies, improve responses, streamline reviews, save time, and lower costs.

		Electronic Form	Paper Form
Setup	Respondent Data	Stored in dynamic database and automatically updated	Manually input year after year
	Questionnaire Versions	Display only relevant questions for each respondent	Individual documents created for each respondent, or all respondents see long form
	Preparation Time	Fully managed by the Nasdaq board engagement team	Days and/or weeks of your time
Delivery	Distribution	Individual link delivered via email	Email and/or mail
Execution	Completion Tracking	Automated notifications and reminders	Countless calls and emails
	Response Accuracy	Mandatory response questions designed to elicit all necessary information	Incomplete or outdated data
	Completion Time	20-45 minutes	1-4 hours
Reporting	Data Review	Automated redlining of individual questionnaires and composite report	Manual redlining of individual questionnaires
Data Security	Privacy	Customer data processed and stored within the Nasdaq EnGauge™ platform is encrypted in transit and at rest	Minimum security and higher risk of loss

“We had a lot of positive response to the D&O process this year. This tool is awesome! The product was so much better than any we have used in the past. Keeping things simple is key for these busy executives.”

–Risk & Governance Management, Legal Department, TTEC

© 2020 Nasdaq, Inc. The Nasdaq logo and the Nasdaq 'ribbon' logo are the registered and unregistered trademarks, or service marks, of Nasdaq, Inc. in the U.S. and other countries. All rights reserved. This communication and the content found by following any link herein are being provided to you by Nasdaq Governance Solutions, a business of Nasdaq, Inc. and certain of its subsidiaries (collectively, "Nasdaq"), for informational purposes only. Nothing herein shall constitute a recommendation, solicitation, invitation, inducement, promotion, or offer for the purchase or sale of any investment product, nor shall this material be construed in any way as investment, legal, or tax advice, or as a recommendation, reference, or endorsement by Nasdaq. Nasdaq makes no representation or warranty with respect to this communication or such content and expressly disclaims any implied warranty under law. At the time of publication, the information herein was believed to be accurate, however, such information is subject to change without notice. This information is not directed or intended for distribution to, or use by, any citizen or resident of, or otherwise located in, any jurisdiction where such distribution or use would be contrary to any law or regulation or which would subject Nasdaq to any registration or licensing requirements or any other liability within such jurisdiction. By reviewing this material, you acknowledge that neither Nasdaq nor any of its third-party providers shall under any circumstance be liable for any lost profits or lost opportunity, direct, indirect, special, consequential, incidental, or punitive damages whatsoever, even if Nasdaq or its third-party providers have been advised of the possibility of such damages. 1882-Q20