

Nasdaq Governance Solutions

We believe that technology should break down barriers and empower people to work together. That's why Nasdaq Governance Solutions serves boards and leadership teams with a comprehensive suite of governance tools. Ranging from board portal software to board engagement services, our solutions help optimize collaboration efforts and streamline compliance processes to drive excellence.

Board Portal

Help your organization improve decision-making and meeting effectiveness—inside and outside of the boardroom—with the **Nasdaq Boardvantage®** enterprise board portal.

- Leveraged by more than 50% of Fortune 100 companies¹
- Supported by the team of dedicated information security professionals who monitors Nasdaq's markets
- Award-winning 24/7/365 client support²
- Clean, user-friendly interface
- Built to scale across entities

"An excellent system for corporate directors."

—DIRECTOR, INSURANCE INDUSTRY³

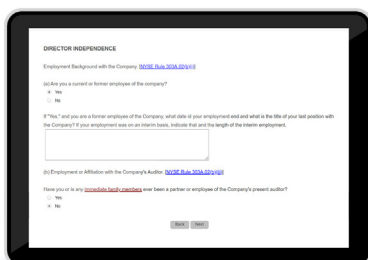
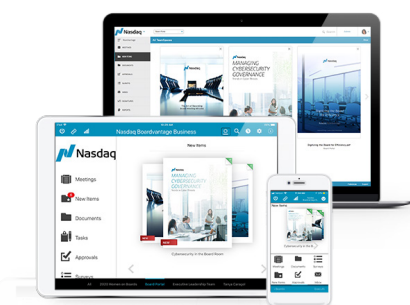
Questionnaires

Help your organization promote timely disclosures and identify potential risks with **Directors' & Officers' (D&O) Questionnaires** and **Compliance Questionnaires**.

- Automate data collection from directors, officers, and employees
- Reduce administrative burden of setting up and updating questionnaires year over year
- Create customized questionnaires or adopt questionnaires from Nasdaq Governance Solutions' law firm partners
- Generate individual and redlined summary reports to quickly identify potential disclosures
- Easily administer your organization's FCPA, related party, conflict of interest, anti-bribery, and other compliance questionnaires

"This tool is awesome! The product was so much better than any we have used in the past. Keeping things simple is key for these busy executives."

—RISK & GOVERNANCE MANAGEMENT, LEGAL DEPARTMENT⁴



Evaluations

Help your organization foster board and management effectiveness with **Board Evaluations** and **CEO and Management Evaluations**.

- Tailored written evaluations and interview processes
- Third-party administered evaluations that encourage candor and facilitate deeper engagement
- Anonymized reporting supports healthy group dynamics
- Strategic reports include digestible infographics, SWOT diagrams, and actionable analysis
- Identify areas for focus, strengths, and opportunities for improvement



For more information visit nasdaq.com/solutions/governance or email Contact@Nasdaq.com

Built for Evolving Governance

Leveraged Globally by Public & Private Companies¹

3.9k

ORGANIZATIONS SERVED
WORLDWIDE

1.8k

PUBLIC COMPANIES SERVED
WORLDWIDE

200+

FORTUNE 500 COMPANIES
SERVED

Highly Awarded for Technology & Customer Services⁵



1. As of March 31, 2021

2. 2020 Silver Stevie Award Winner for Sales & Customer Service; 2020 Bronze Asia-Pacific Stevie Award Winner for Innovation in Customer Service, Management, Planning & Practice; International Service Excellence Awards –Service Champion 2019.

3. Nasdaq Boardvantage Reviews on Capterra. Capterra reviews constitute the subjective opinions of individual end users based on their own experiences and do not represent the views of Capterra or its affiliates.

4. The views and opinions expressed in the quote are the views and opinions of the quote's author and do not necessarily reflect those of Nasdaq, Inc. or its subsidiaries.

5. International Service Excellence Awards – Service Champion 2019; International Service Excellence Awards – Winner 2020; 2020 Corporate Governance Awards – Best Proxy, Large Cap; 2020 Bronze Asia-Pacific Stevie Award Winner for Innovation in Customer Service, Management, Planning & Practice; 2018 RiskTech 100 Category Winner – Operational Risk & GRC